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Will more coordinated Open Access policies be developed?

Presentation in the Session
Open Access Today: The international perspective
at the Workshop
“Policy and Technology for e-Science”
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Institut d'Estudis Catalans, Barcelona
In a Nutshell:

- Open Access prospers
- One vision - many actors - varying interest
- European Union/Germany: Coordinated policies in the making
- Implementing Policy: OA Activities at Max Planck Society
Growing Number of Directory of Open Access Journals (DOAJ)

Morrison 2008
Stakeholders

**OA Advocates**
- Librarians (many)
- Researchers (some)
- Research managers of public organizations (?)
- Learned Societies (?)
- Politicians with special interest in science (few)
- Civil society (many)
- Publishers (growing number)
- Private/public funding organizations (many)

**Open Access Skeptics**
- Publishers (many)
- Learned societies (?)
- Politicians with special interest in economics or law (in Germany) (many)
Motivation - Policies

Open Access Advocates

- **Motivations**
  - Cost control
  - Enhancement of research process (communication and scholarly workbench)
  - Boost of economic competitiveness while not changing IPR law
  - Access for equality, development, self determination
  - Option for new business models

- **Policy instruments/aims**
  - Advocacy
  - Built-up of expertise/infrastructure
  - Restructuring of funding mechanisms
  - Mandates
  - Changes in IPR law

Open Access Skeptics

- **Motivations**
  - Fear to lose business
  - Fear to lose income / need to spend more on society journal
  - Scientific publication market too minor to legitimate changes in IPR policy
  - National copyright law is restricted by international agreements which strongly limit the scope for changes
  - Aversion against alleged free ride mentality of scientific community

- **Policy instruments/aims**
  - Resistance against change
  - Threat of job loss
Consolidation and Coordination of OA Policies

- European level
  - Pro open access policy statements/policy by Commission, Council, ESF, EUROHORCs, EUA

- Germany
  - Research Alliance Focus initiative "Digital Information"
  - Research Alliance member organizations
    - Helmholtz Association
    - Leibniz Gemeinschaft
    - Max Planck Society
    - German Research Foundation
    - German Rector’s Conference
    - German Council of Science and Humanities
EUROHORCs / ESF Vision + Roadmap on ERA

- Open access integral part of policy
- Joint statement on open access announced
- Open access policy is to include strategies on research data
Germany: Focus Initiative "Digital Information"

- Roadmap for 2008-2012
- Making all published scientific knowledge available to researchers in Germany
- Build-up of central infrastructure to archive content of journals subscriptions
- Extension of national licenses / disjunction from print subscription price models
- Interoperability of repositories
- Development of common policy on research data
- Change in German copyright law: author right to regain copyright after a set period
Open Access Activities at Max Planck Society

- Services for scientists
  - New repository software
  - Legal support concerning copyright questions
  - § 137l UrhG project
  - Central funding for article charges by several publishers
    - BioMed Central
    - Copernicus
    - New Journals of Physics
    - PloS
    - Springer

- Deposit Request
- Publishing
  - Living Reviews
- Advocacy
  - OA seminars at institutes
  - MPG-OA-Network
    - Mailing List
    - Seminar on US copyright law
  - Co-organizer of Open Access Days
  - Cooperation with German Open Access Platform (http://www.open-access.net/)

- Research
  - PEER project
MPS Deposit Request (Draft)

- MPS is establishing the technical, organisational and legal parameters for scientific publications to be electronically archived entirely, permanently and long-term system compatible.

- To be archived: All scientific publications.

- The archiving of scientific publications is effected no later than its publication date.

- Scientists see to it that in the context of publication usage rights in favour of publishers are preferably granted content- and time-limited. They particularly reserve the right to independently undertake or allow for non-commercial electronically secondary publications. This Deposit Request does not encroach on the scientist’s rights to allow or not allow for non-commercial electronic secondary publications in individual cases.

- Responsibility for the implementation of this Deposit Request is on the institute management. Scientists give written explanation if the rules of this Deposit Request cannot be observed in individual cases.
Publishing and the Ecology of European Research (PEER) Aims

- Produce evidence on impact of archiving research outputs in OA repositories if implemented on a broad and systematic scale.
- Participating publishers will collectively contribute up to 300 journals to the project and allow deposit of stage-two research outputs in European repositories using various embargo periods.
- Supporting research studies will address issues such as:
  - How large-scale archiving will affect journal viability
  - Whether it increases access
  - How it will affect the broader ecology of European research
  - Factors influencing the readiness to deposit in institutional and disciplinary repositories and the associated costs
  - Models to illustrate how traditional publishing systems can coexist with self-archiving.
Publishing and the Ecology of European Research (PEER)

- The project is a collaboration involving the
  - publishing,
  - library and
  - research communities

- Partner Organizations
  - International Association of Scientific, Technical and Medical Publishers
  - European Science Foundation
  - Goettingen State and University Library (DRIVER project)
  - Max Planck Society
  - Institut National de Recherche en Informatique et en Automatique
  - University of Bielefeld
  - SURF

- Project duration
  - September 2008 (planned) - August 2011
Thank you very much for your attention!

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These slides will be available via edoc.mpg.de